

Message Text

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SUBJECT: US-USSR ENVIRONMENTAL AGREEMENT: FINANCIAL ARRANGEMENTS (02.00)

REF: (A) MOSCOW 1992, (B) MOSCOW 03234, (C) STATE 056973

1. REQUEST EMBASSY DELIVER FOLLOWING LETTER DATED MARCH 21
FROM US EXECUTIVE SECRETARY PIERRE SHOSTAL TO SOVIET COUNTER-
PART YURIY KAZAKOV.

2. MARCH 29, 1978 02.00

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DR. YURIY YE. KAZAKOV
MAIN ADMINISTRATION OF THE HYDROMETEOROLOGICAL SERVICE OF
THE USSR
PEREULOK PAVLIKA MOROZOVA, 12
MOSCOW D-376

DEAR YURIY:

I AM RESPONDING TO YOUR FEBRUARY 3 LETTER WHICH PROPOSED CON-
FIRMATION OF PRINCIPLES OF THE FINANCIAL ARRANGEMENTS OF OUR

COOPERATION. WE HAVE CAREFULLY STUDIED THE DRAFT WHICH YOU HAD PREPARED AND FIND THAT BASICALLY THE SUGGESTIONS MADE BY THE SOVIET SIDE ARE ACCEPTABLE TO US. FOR THE SAKE OF CLARITY, WE HAVE SOMEWHAT REORGANIZED THE DRAFT SO AS TO SHOW WHICH PROVISIONS APPLY ONLY TO RECEIVING SIDE PAYS BASIS PROJECTS AND WHICH PROVISIONS APPLY TO ALL PROJECTS. I HOPE YOU WILL FIND THIS REDRAFT HELPFUL.

YOU WILL NOTE THAT THERE IS ONE SUBSTANTIVE DISCREPANCY BETWEEN OUR DRAFTS, I.E., THAT WE HAVE LISTED PROJECT 02.11-11, LEGAL AND ADMINISTRATIVE MEASURES, ON A RECEIVING SIDE PAYS BASIS. AS YOU WILL RECALL, IN A LETTER DATED FEBRUARY 16, 1978, TO SOVIET PROJECT LEADER O. S. KOLBASOV, US PROJECT LEADER NICHOLAS C. YOST SAID THAT THE US SIDE APPROVES THE SUGGESTION OF V. G. SOKOLOVSKIY THAT EXCHANGES UNDER THIS PROJECT SHOULD BE ON A RECEIVING SIDE PAYS BASIS.

PERSUANT TO YOUR SUGGESTION, WE AGREE THAT THE NEW DOCUMENT ON FINANCIAL ARRANGEMENTS BE AN APPENDIX TO THE MEMORANDUM OF THE SIXTH JOINT COMMITTEE MEETING, AS WAS DONE AT THE FOURTH JOINT COMMITTEE MEETING. WE BELIEVE THAT THE SAME FORMAT SHOULD BE FOLLOWED AS FOR THE 1975 DOCUMENT, THAT IS THAT IT BE SIGNED BY THE RESPECTIVE EXECUTIVE SECRETARIES.
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IN THIS REGARD, I CAN CONFIRM THAT MR. COSTLE HAS GIVEN HIS APPROVAL FOR THE PROPOSED CHANGES. IF THE TEXT WHICH I AM ENCLOSING MEETS WITH THE SOVIET SIDE'S APPROVAL, PLEASE LET OUR EMBASSY KNOW AND WE WILL PREPARE A SIGNED FINAL VERSION OF THE DOCUMENT.

I LOOK FORWARD TO HEARING FROM YOU SOON.

WITH BEST WISHES.

SINCERELY,

PIERRE SHOSTAL

ENCLOSURE

CONDITIONS AND METHODS OF FINANCING PARTICIPATION OF SPECIALISTS IN AGREED PROJECTS UNDER THE MAY 23, 1972, US-USSR AGREEMENT ON COOPERATION IN THE FIELD OF ENVIRONMENTAL PROTECTION

SUPPLEMENT TO THE MEMORANDUM OF THE SIXTH MEETING OF THE US-USSR JOINT COMMITTEE ON COOPERATION IN THE FIELD OF ENVIRONMENTAL PROTECTION
WASHINGTON, D.C., NOVEMBER 14-18, 1977

IN THE MEMORANDUM OF PROCEDURES SIGNED IN SEPTEMBER 1972

DURING THE FIRST MEETING OF THE US-USSR JOINT COMMITTEE ON COOPERATION IN THE FIELD OF ENVIRONMENTAL PROTECTION, THE TWO SIDES AGREED THAT THEY WOULD EXAMINE, AND IF POSSIBLE COME TO AN ACCORD ON, THE CONDITIONS AND METHODS OF FINANCING COOPERATIVE PROJECTS, PARTICULARLY THE ASSIGNMENT IN EACH COUNTRY OF SCIENTISTS, SPECIALISTS, AND ADMINISTRATORS.

SUBSEQUENTLY, THE SOVIET SIDE PROPOSED COOPERATION ON THE BASIS OF PAYMENT BY THE RECEIVING SIDE (THAT IS, WITHOUT THE UNCLASSIFIED

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EXPENDITURE OF FOREIGN EXCHANGE). THE US SIDE EXPRESSED ITS GENERAL APPROVAL OF THIS PRINCIPLE, BUT POINTED OUT THAT IN ACCORDANCE WITH THE LAWS OF THE USA, EACH GOVERNMENTAL ORGANIZATION IS BOUND BY SEPARATE LEGISLATION WHICH CONTROLS ITS ABILITY TO CARRY OUT EXCHANGES ON THIS BASIS.

IN SUPPLEMENTS TO THE MEMORANDA OF THE SECOND AND FOURTH MEETINGS OF THE JOINT COMMITTEE, NOVEMBER 13-16, 1973, AND OCTOBER 28-31, 1975, RESPECTIVELY, BOTH SIDES REACHED AGREEMENT ON THE APPLICATION OF THE "RECEIVING SIDE PAYS" (OR "NON-USE OF FOREIGN EXCHANGE") PRINCIPLE FOR PARTICIPATION OF SPECIALISTS IN CERTAIN AGREED PROJECTS.

REAFFIRMING THAT THE PRINCIPLE OF EXCHANGES ON THE "RECEIVING SIDE PAYS" BASIS COULD CONTRIBUTE TO THE DEVELOPMENT OF BROADER COOPERATION, THE US SIDE WILL CONTINUE TO SEEK TO INCREASE THE NUMBER OF PROJECTS WHERE THIS PRINCIPLE WILL BE APPLIED. THE "RECEIVING SIDE PAYS" PRINCIPLE MEANS THAT THE RECEIVING SIDE WILL BE RESPONSIBLE FOR PAYMENT OF ALL THOSE OFFICIAL EXPENSES WHICH ARE INCURRED BY A VISITING DELEGATION DURING ITS BUSINESS WITH THE RECEIVING AGENCY, WITH THE EXCEPTION OF TRAVEL COSTS FROM THE COUNTRY OF ORIGIN TO THE POINT OF ENTRY IN THE HOST COUNTRY. LIMITED APPLICABILITY MAY BE ARRANGED WITH REFERENCE TO SPECIFIC PROJECTS WHERE SPECIAL CIRCUMSTANCES EXIST.

MORE THAN HALF OF WORKING GROUP CHAIRMEN AND PROJECT LEADERS NOW OPERATE ON A "RECEIVING SIDE PAYS" PRINCIPLE FOR THEIR PROJECTS UPON AUTHORIZATION IN ACCORDANCE WITH THEIR AGENCY REGULATIONS.

PROJECTS UNDER THE ENVIRONMENTAL AGREEMENT NOW BEING CARRIED OUT ON THIS BASIS ARE:
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PROJECT 02.01-11, AIR POLLUTION MODELING AND STANDARD SETTING,
PROJECT 02.01-12, AIR POLLUTION INSTRUMENTATION AND MEASUREMENT METHODOLOGY,
PROJECT 02.01-21, GASEOUS EMISSION ABATEMENT,

PROJECT 02.01-22, PARTICULATE ABATEMENT TECHNOLOGY,
PROJECT 02.01-23, PROCESS IMPROVEMENT AND MODIFICATION,
PROJECT 02.01-24, FERROUS METALLURGY ABATEMENT TECHNOLOGY,
PROJECT 02.01-31, TRANSPORTATION SOURCE AIR POLLUTION CONTROL TECHNOLOGY,

PROJECT 02.02-11, RIVER BASIN WATER QUALITY PLANNING AND
MANAGEMENT,
PROJECT 02.02-12, PROTECTION AND MANAGEMENT OF THE WATER
QUALITY IN LAKES AND ESTUARIES,
PROJECT 02.02-13, EFFECT OF POLLUTANTS UPON AQUATIC ORGANISMS
AND ECOSYSTEMS; DEVELOPMENT OF WATER QUALITY CRITERIA,
PROJECT 02.02-21, PREVENTION OF WATER POLLUTION FROM INDUSTRIAL
AND MUNICIPAL SOURCES,
PROJECT 02.03-31, FORMS AND MECHANISMS BY WHICH PESTICIDES
AND CHEMICALS ARE TRANSPORTED,
PROJECT 02.06-21, EFFECT OF POLLUTANTS ON MARINE ORGANISMS,
PROJECT 02.07-11, BIOLOGICAL AND GENETIC EFFECTS OF POLLUTANTS,
PROJECT 02.07-21, COMPREHENSIVE ANALYSIS OF THE ENVIRONMENT,
PROJECT 02.08-11, EFFECTS OF CHANGES IN THE HEAT BALANCE OF
THE ATMOSPHERE ON CLIMATE,
PROJECT 02.08-12, EFFECTS OF POLLUTION OF THE ATMOSPHERE ON
CLIMATE,
PROJECT 02.08-13, INFLUENCE OF SOLAR ACTIVITY ON CLIMATE,
PROJECT 02.09-11, FIELD INVESTIGATIONS OF EARTHQUAKE PREDICTION,
PROJECT 02.09-12, LABORATORY AND THEORETICAL INVESTIGATIONS
OF THE PHYSICS OF THE EARTHQUAKE SOURCE,
PROJECT 02.09-13, MATHEMATICAL AND COMPUTATIONAL PREDICTION
OF PLACES WHERE LARGE EARTHQUAKES OCCUR AND EVALUATION OF
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SEISMIC RISK,
PROJECT 02.09-14, ENGINEERING-SEISMOLOGICAL INVESTIGATIONS,
PROJECT 02.09-21, SYSTEM OF SIMULTANEOUS WARNINGS ON TSUNAMIS,
PROJECT 02.11-11, LEGAL AND ADMINISTRATIVE MEASURES.

POINTS 1 THROUGH 3 BELOW REFER TO THOSE PROJECTS OPERATING
ON A "RECEIVING SIDE PAYS" BASIS.

1. IN RECEIVING DELEGATIONS, THE HOST SIDE WILL FURNISH
TRANSPORTATION FOR ALL OFFICIAL TRAVEL AFTER ARRIVAL AT THE
POINT OF ENTRY.
2. THE RECEIVING SIDE WILL PROVIDE HOTEL ACCOMMODATIONS OR
OTHER APPROPRIATE LODGING TO VISITING SPECIALISTS FOR THE
AGREED PERIOD OF THE MEETING IN THE RECEIVING COUNTRY.
3. THE RECEIVING SIDE WILL PAY VISITING SPECIALISTS A DAILY
ALLOWANCE (15 DOLLARS OR 11 RUBLES) FOR MEALS AND INCIDENTAL
EXPENSES FOR THE AGREED PERIOD OF THE MEETING IN THE RE-

CEIVING COUNTRY, INCLUDING THE DAY OF ARRIVAL AND THE DAY OF DEPARTURE. THESE AMOUNTS MAY BE CHANGED BY MUTUAL AGREEMENT OF THE JOINT COMMITTEE OR THE CO-CHAIRMEN INsofar AS THE REGULATIONS OF THE RECEIVING SIDE PERMIT.

4. ANY EXTENSIONS OF VISITS OCCASIONED BY BUSINESS OTHER THAN THAT CONDUCTED WITH THE RECEIVING AGENCY, BY PERSONAL INTEREST, OR BY OPTIONAL TRAVEL ARRANGEMENTS, WILL NOT BE COVERED BY THE ARRANGEMENTS FOR HOTEL ACCOMMODATIONS AND DAILY ALLOWANCES SPECIFIED IN PARAGRAPHS 2 AND 3. HOWEVER, THE COSTS OF OFFICIAL EXPENSES RELATED TO EXTENSIONS OF VISITS OCCASIONED BY FORCE MAJEURE WILL BE BORNE BY THE RECEIVING SIDE.

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5. MUTUAL ASSIGNMENTS OF SPECIALISTS BASED ON THE RECEIVING SIDE PAYS PRINCIPLE WILL BE BASED ON RECIPROCAL NUMBERS OF MAN-DAYS OR MAN-MONTHS WITHIN A GIVEN PROJECT.

POINTS 6 THROUGH 11 BELOW REFER TO ALL PROJECT WORK CARRIED OUT UNDER THE ENVIRONMENTAL AGREEMENT, REGARDLESS OF SOURCE OF FUNDING.

6. AFTER CONSULTING WITH VISITING SPECIALISTS, THE RECEIVING SIDE MAY ARRANGE CULTURAL EVENTS FOR THE VISITORS. THE COST OF ADMISSION TO CULTURAL EVENTS WILL BE BORNE BY THE VISITORS.

7. THE RECEIVING SIDE WILL PROVIDE FREE MEDICAL AID TO VISITING SPECIALISTS WITHIN THE LIMITS OF ITS NATIONAL MEDICAL SYSTEM AND PRACTICES.

8. ANY VISIT MAY BE SUBJECT TO SPECIAL MUTUAL AGREEMENT BETWEEN THE TWO SIDES.

9. REGARDLESS OF THE BASIS ADOPTED, THE HOST SIDE PROVIDES OFFICIAL, BUSINESS-RELATED TRANSPORTATION FOR DELEGATIONS WITHIN THE METROPOLITAN AREAS OF THE CITIES VISITED AND ARRANGES FOR FACILITIES WHERE DELEGATIONS CAN CARRY OUT THEIR WORK; IT FURNISHES INTERPRETERS AND PAYS THE COSTS OF CONDUCTING MEETINGS, INCLUDING TRANSLATION, TYPING, AND REPRODUCTION OF MEETING-RELATED MATERIALS, EXCEPT FOR THOSE SCHOLARLY PAPERS WHICH SHOULD BE EXCHANGED FOR TRANSLATING BY THE TWO SIDES PRIOR TO THE ARRIVAL OF THE DELEGATION.

10. IF ONE SIDE OF A WORKING GROUP OR PROJECT WISHES TO ALTER THE PRESENT SUPPLEMENT, IT IS REQUIRED TO NOTIFY THE OTHER SIDE (THE CHAIRMAN OF THE JOINT COMMITTEE AND THE PROJECT CHAIRMAN) NOT LESS THAN THREE MONTHS BEFORE THE ESTABLISHMENT OF THE MEASURE IN QUESTION.

11. THESE AGREEMENTS WILL BECOME EFFECTIVE ON JANUARY 1,

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1978.

NOVEMBER 18, 1977 VANCE

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<< END OF DOCUMENT >>

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